

Spring Lake Advisors, LLC

SERVICES PAGE

Overview

Spring Lake Advisors has the practical experience to provide a comprehensive view of your Hedge fund operation. Whether you are managing millions or billions, we will supply a well thought out, objective view of where your business is now and what it will look like moving into the future.

Start-Up Services

- **Business Strategy:** Incorporating your goals and your budget, Spring Lake will provide a tailor-made plan to help you get where you want to go.
- **Implementation and Documentation of processes, procedures and key controls:** Spring Lake procedures will assure that all your information flows smoothly, enabling everyone to do their job more efficiently while meeting standards acceptable to clients and regulators.
- **IT and Telecomm Hardware:** Spring Lake will design and build the right communications infrastructure for your business.
- **Trading Floor and Office Technology build out:** Spring Lake will determine your business's technology needs and build a system within your budget.
- **Premises selection, design & build:** Spring Lake will help find the right location for your business and design a comfortable, efficient and functional working environment.
- **Business Continuity Planning:** Spring Lake will design processes assuring that every operating detail of daily procedures becomes second nature to your staff.
- **Disaster Recovery Center:** Spring Lake will design a disaster recovery system to keep your clients and your business confident and safe.

Strategic Business Guidance (in place of 'Guidance' consider 'Advice' – 'Counsel' – 'Intelligence')

- **Strategic advice and business plan development & implementation for the business side of Hedge Funds:** Spring Lake will help you with every aspect of your business, and design systems and procedures that free fund managers to focus on building profits.
-

- **“Right-sizing” the infrastructure to match business needs and objectives – Cost/Benefit Analysis:** Spring Lake will design business systems and procedures that fit your specific needs and your budget.
- **Systems infrastructure and applications – front-end to back-end:** Spring Lake systems are designed to “leverage” employees, freeing them from detail work while empowering them to apply their time and experience to significant issues.
- **Straight-Through-Processing architecture:** Spring Lake provides fully-automated, fully scalable, “no touch” systems that handle every trade process from beginning of end.
- **Build vs. Buy decision evaluation:** Spring Lake experts will develop work-around systems that will effectively augment the functionality of available applications.
- **Vendor analysis & selection:** Spring Lake uses its extensive industry experience and industry contacts to determine the best and most reliable external resources for your business.
- **Project management:** Spring Lake experts oversee the varied special projects your business may need, from simple to complex.
- **Implementation of industry best practices for the trading and operating environment:** Spring Lake brings a complete understanding of the standards and practices common to the financial and securities industry. We will make your fund stronger by evaluating all stages of your transaction and information processing, and by using automation to make the process safer, more accurate and more efficient.
- **Operational process diagnostics:** Spring Lake will conduct ongoing diagnostics to find potential problems before they happen and improve overall systems.
- **Control environment assessment:** Spring Lake will develop technology solutions to meet compliance requirements and standards.